

2018 Rec'd PCT/PTO 06 NOV 2001

FORM PTO-1390 (REV. 9-2001)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE PATENT & TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 020093-001000US
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/009508
INTERNATIONAL APPLICATION NO. PCT/US99/08079	INTERNATIONAL FILING DATE April 13, 1999	PRIORITY DATE CLAIMED N/A	
TITLE OF INVENTION METHODS FOR THE DIAGNOSIS AND TREATMENT OF METASTATIC PROSTATE TUMORS			
APPLICANT(S) FOR DO/EO/US Sai L. Su			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 36 U.S.C. 371.3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 37(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> has been communicated by the International Bureauc. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto.b. <input checked="" type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).			
Items 11 to 20 below concern document(s) or information included:			
<ol style="list-style-type: none">11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.13. <input type="checkbox"/> A FIRST preliminary amendment.14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.15. <input type="checkbox"/> A substitute specification.16. <input type="checkbox"/> A change of power of attorney and/or address letter.17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.18. <input checked="" type="checkbox"/> A second copy of the published international application under 36 U.S.C.19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).20. <input type="checkbox"/> Other items or information:			
Postcard			
Petition to Revoke			
Certificate of Express Mail			

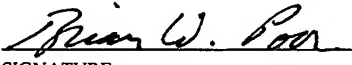
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21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY																																																																													
BASIC NATIONAL FEE (37 CFR 1.492(A) (1) – (5)): Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO \$ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4) \$																																																																																	
ENTER APPROPRIATE BASIC FEE AMOUNT =																																																																																	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).																																																																																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>33 - 20 =</td> <td>13</td> <td>x \$18.00</td> <td>\$ 234.00</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>13 - 3 =</td> <td>10</td> <td>x \$84.00</td> <td>\$ 840.00</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 280.00</td> <td>\$ 280.00</td> <td></td> </tr> <tr> <td colspan="4">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$1,354.00</td> <td></td> </tr> <tr> <td colspan="4"> <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. </td> <td>\$ 677.00</td> <td></td> </tr> <tr> <td colspan="4">SUBTOTAL =</td> <td>\$1,517.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee for Petition to Revive under C.F.R. 1.17(m) in the amount of \$640.00</td> <td>\$ 640.00</td> <td></td> </tr> <tr> <td colspan="4">TOTAL NATIONAL FEE =</td> <td>\$2,157.00</td> <td></td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4">TOTAL FEES ENCLOSED =</td> <td>\$2,157.00</td> <td></td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">Amount to be refunded:</td> <td style="text-align: center;">\$</td> </tr> <tr> <td colspan="4"></td> <td style="text-align: right;">charged:</td> <td style="text-align: center;">\$</td> </tr> </tbody></table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	33 - 20 =	13	x \$18.00	\$ 234.00		Independent claims	13 - 3 =	10	x \$84.00	\$ 840.00		MULTIPLE DEPENDENT CLAIM(S) (if applicable) + 280.00				\$ 280.00		TOTAL OF ABOVE CALCULATIONS =				\$1,354.00		<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 677.00		SUBTOTAL =				\$1,517.00		Processing fee for Petition to Revive under C.F.R. 1.17(m) in the amount of \$640.00				\$ 640.00		TOTAL NATIONAL FEE =				\$2,157.00		Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$		TOTAL FEES ENCLOSED =				\$2,157.00						Amount to be refunded:	\$				
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- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 20-1430 in the amount of \$2,157.00 to cover the above fees.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1430. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Brian W. Poor
 Townsend and Townsend and Crew
 Two Embarcadero Center, 8th Floor
 Ssan Francisco, CA 94111


 SIGNATURE

Brian W. Poor
 NAME

32,928
 REGISTRATION NUMBER

TOWNSEND
and
TOWNSEND
and
CREW

LLP



12-11 10/009508
JC18 Rec'd PCT/PTO 06 NOV 2001
San Francisco, California
Tel 415 576-
Palo Alto, California
Tel 650 326-2400
Denver, Colorado
Tel 303 571-4000
Walnut Creek, California
Tel 925 472-5000
Seattle
601 Union Street
Suite 5400
Seattle
Washington 98101-2327
Tel 206 467-9600
Fax 206 623-6793

PCT Application No.: PCT/US99/08079

International Filing Date: April 13, 1999

Applicant: Sai L. Su

Our File: 020093-001000US

Documents Enclosed: Transmittal Letter, Petition to Revive, Postcard, Copy of
Published International application, Computer readable form of the Sequence Listing

Express Mail No. EK329754045US

Date of Deposit: November 6, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 CFR § 1.10 on the date indicated above, with sufficient postage and in an envelope addressed to Box Provisional Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

TOWNSEND and TOWNSEND and CREW LLP

By: Wendy Walton
Wendy Walton

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